Patent Case No.: 59018US007

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| First Named Inventor: | NODA, KAZUKI |                   |     |
|-----------------------|--------------|-------------------|-----|
| Application No :      | 10/595678    | Confirmation No : | 463 |

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Title: SEMICONDUCTOR SURFACE PROTECTING SHEET AND METHOD

## INFORMATION DISCLOSURE STATEMENT

|  | CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]  |  |  |  |   |
|--|---|--|--|--|---|
| Mail Stop: Amendment   | I hereby certify that this correspondence is being:   |  |  |  |   |
| Commissioner for Patents<br>P.O. Box 1450<br>Alexandria, VA 22313-1450 | ☐ transmitted to United States Patent and Trademark Office on the date shown below via the Office electronic filing system.  ☐ deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, F.O. Box 1459, Alexandria, VA 223 13-1450.  ☐ transmitted by facisimize on the date shown below to the United States Patent and Trademark Office at 571-273-8300. |  |  |  |   |
|  |   |  |  |  | 21 September 2011 /Kathleen M. Sandvig/ |
|  |   |  |  |  | Date Signed by: Kathleen M. Sandvig     |
| Dear Sir:  |   |  |  |  |   |
| Pursuant to 37 CFR §§ 1.   | 56, 1.97, and 1.98, enclosed is a completed Form PTO-1449,  |  |  |  |   |
| citing references submitted for co                                     | onsideration by the Examiner. It is respectfully requested that the   |  |  |  |   |
| Examiner initial and return the en                                     | nclosed Form PTO-1449 to indicate that each reference has been  |  |  |  |   |
| considered.  |   |  |  |  |   |
|  | <u>Fees</u>   |  |  |  |   |
| It is believed that no fee is<br>Account No. 13-3723.                  | due; however, in the event a fee is required, please charge the fee to Deposi   |  |  |  |   |
| fees are not or cannot be p  | CFR § 1.17(p) will be paid at the time of EFS-Web submission. In the ever aid at the time of EFS-Web submission, please charge any fees under be required to Deposit Account No. 13-3723.   |  |  |  |   |

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## Certification Under 37 § CFR 1.97(e)(1)

Under 37 CFR § 1.97(e)(1), I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filling of this Information Disclosure Statement.

## Copies of Documents

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

Respectfully submitted,

Office of Intellectual Property Counsel 3M Innovative Properties Company Facsimile No.: 651-736-3833